CrossMark

Highly compacted biodegradable DNA nanoparticles capable of overcoming the mucus barrier for inhaled lung gene therapy

Panagiotis Mastorakos^{a,b,1}, Adriana L. da Silva^{c,1}, Jane Chisholm^{a,d}, Eric Song^{a,e}, Won Kyu Choi^{a,d}, Michael P. Boyle^f, Marcelo M. Morales^c, Justin Hanes^{a,b,d,2}, and Jung Soo Suk^{a,b,2}

^aCenter for Nanomedicine, Wilmer Eye Institute, Johns Hopkins University School of Medicine, Baltimore, MD 21231; ^bDepartment of Ophthalmology, Wilmer Eye Institute, Johns Hopkins University School of Medicine, Baltimore, MD 21297; ^cLaboratory of Cellular and Molecular Physiology, Carlos Chagas Filho Institute of Biophysics, Federal University of Rio de Janeiro, Rio de Janeiro, RJ 21941902, Brazil; ^dDepartment of Chemical and Biomolecular Engineering, Johns Hopkins University, Baltimore, MD 21218; ^eCenter for Biotechnology Education, Krieger School of Arts and Sciences, Johns Hopkins University, Baltimore, MD 21218; and [†]Adult Cystic Fibrosis Program, Division of Pulmonary and Critical Care Medicine, Johns Hopkins University School of Medicine, Baltimore, MD 21205

Edited by Joseph M. DeSimone, University of North Carolina at Chapel Hill, Chapel Hill, NC, and approved June 1, 2015 (received for review February 4, 2015)

Gene therapy has emerged as an alternative for the treatment of diseases refractory to conventional therapeutics. Synthetic nanoparticle-based gene delivery systems offer highly tunable platforms for the delivery of therapeutic genes. However, the inability to achieve sustained, high-level transgene expression in vivo presents a significant hurdle. The respiratory system, although readily accessible, remains a challenging target, as effective gene therapy mandates colloidal stability in physiological fluids and the ability to overcome biological barriers found in the lung. We formulated highly stable DNA nanoparticles based on state-of-theart biodegradable polymers, $poly(\beta-amino esters)$ (PBAEs), possessing a dense corona of polyethylene glycol. We found that these nanoparticles efficiently penetrated the nanoporous and highly adhesive human mucus gel layer that constitutes a primary barrier to reaching the underlying epithelium. We also discovered that these PBAE-based mucus-penetrating DNA nanoparticles (PBAE-MPPs) provided uniform and high-level transgene expression throughout the mouse lungs, superior to several gold standard gene delivery systems. PBAE-MPPs achieved robust transgene expression over at least 4 mo following a single administration, and their transfection efficiency was not attenuated by repeated administrations, underscoring their clinical relevance. Importantly, PBAE-MPPs demonstrated a favorable safety profile with no signs of toxicity following intratracheal administration.

lung gene therapy | mucus-penetrating particles | nanotechnology | biodegradable polymer | nonviral gene delivery

ene therapy holds promise for a wide range of hard-to-treat Gene therapy notes promote for a marked of the therapy clinical diseases. Most gene therapy clinical diseases to their trials have investigated vectors derived from viruses due to their intrinsic capacity to infect cells. However, viral vectors possess several shortcomings, including low packaging capacity, technical difficulties in scale-up, high cost of production, and/or risk of mutagenesis (1). Furthermore, vector-inactivating immune responses are frequently observed with repeated administrations or prior exposures (2, 3). Nonviral gene vectors do not have these limitations, and have demonstrated promise in in vitro and in preclinical settings (4). Among several promising polymers, poly (β-amino esters) (PBAEs) provide a library of nontoxic, biodegradable polymers for the compaction of nucleic acids. Numerous high-throughput in vitro screening studies of PBAEbased gene vector libraries have shown efficient gene transfer in a cell-specific manner (5-13). However, in vitro behavior of gene vectors does not usually predict in vivo performance, largely due to harsh physiological environments and a variety of extracellular barriers (14-16). Specifically, the hydrolytic nature and relatively low positive charge density of PBAE may reduce colloidal and DNA compaction stabilities in physiological conditions, thereby limiting their use in vivo and, thus, the potential for clinical applications. Here we sought to develop highly stable PBAEbased DNA nanoparticles (DNA-NPs) and test their ability to provide gene transfer in the airways in vivo.

The lung airway is accessible via inhalation and, thus, it is one of the most straightforward targets for localized gene delivery (17). However, the mucus layer lining the airways forms a nanoporous adhesive barrier that thwarts gene vectors from reaching the underlying epithelium (18). The airway mucus gel is composed of a dense mesh of mucin fibers containing a high density of negatively charged glycans interspersed with periodic hydrophobic regions (19). In the airways of patients with respiratory diseases, including cystic fibrosis (CF) and chronic obstructive pulmonary disease (COPD), elevated levels of bacterial and endogenous DNA, as well as actin filaments from degraded neutrophils, reinforce the barrier properties of airway mucus (20, 21). Based on the diffusion rates of nonadhesive nanoparticles (NPs) of various sizes, we previously estimated the average pore size of spontaneously expectorated mucus from CF

Significance

Therapeutically relevant lung gene therapy is yet to be achieved. We introduce a highly translatable gene delivery platform for inhaled gene therapy based on state-of-the-art biodegradable polymers, poly(β -amino esters). The newly designed system is capable of overcoming challenging biological barriers, thereby providing robust transgene expression throughout the entire luminal surface of mouse lungs. Moreover, it provides markedly greater overall transgene expression in vivo compared with gold standard platforms, including a clinically tested system. The clinical relevance is further underscored by the excellent safety profile as well as long-term and consistent transgene expression achieved following a single and repeated administrations, respectively.

This article is a PNAS Direct Submission.

¹P.M. and A.L.d.S. contributed equally to this work.

²To whom correspondence may be addressed. Email: hanes@jhmi.edu or jsuk@jhmi.edu.

This article contains supporting information online at www.pnas.org/lookup/suppl/doi:10. 1073/pnas.1502281112/-/DCSupplemental.

www.pnas.org/cgi/doi/10.1073/pnas.1502281112

Author contributions: P.M., A.L.d.S., M.M.M., J.H., and J.S.S. designed research; P.M., A.L.d.S., J.C., E.S., W.K.C., M.P.B., M.M.M., J.H., and J.S.S. performed research; M.P.B. contributed new reagents/analytic tools; P.M., A.L.d.S., J.C., E.S., W.K.C., J.H., and J.S.S. analyzed data; and P.M., A.L.d.S., J.H., and J.S.S. wrote the paper.

Conflict of interest statement: The MPP technology described in this publication was developed at the Johns Hopkins University and is licensed to Kala Pharmaceuticals. J.H. is a founder of Kala Pharmaceuticals and currently serves as a consultant. J.H. and Johns Hopkins University own company stock; J.H.'s relationship with Kala Pharmaceuticals is subject to certain restrictions under University policy. The terms of this arrangement are being managed by the Johns Hopkins University in accordance with its conflict of interest policies.



patients to be 140 ± 50 nm (range 60–300 nm) (22). As a consequence of the elevated adhesivity and tighter mesh size of CF mucus, adenovirus (23, 24), adeno-associated virus (AAV) serotypes 1, 2, and 5 (23, 25), and a clinically tested polymeric nonviral gene vector (CK₃₀PEG_{10k} DNA-NP) (26, 27) are unable to efficiently penetrate the mucus gel layer, thereby limiting their success in clinical trials (20, 22, 28). In addition, conventional gene vectors formulated with cationic polymers, such as polyethylenimine (PEI) and poly-L-lysine (PLL), are immobilized in airway mucus regardless of their size due to the positively charged particle surface that interacts with the negatively charged mucus constituents (18). Gene vectors trapped in airway mucus are rapidly cleared from the lung by mucociliary clearance (MCC) or cough-driven clearance (29, 30). Thus, for efficient gene transfer to the airway epithelium in vivo, inhaled gene vectors must be small enough to diffuse through the mucus mesh while possessing a muco-inert surface to avoid adhesion to mucus constituents (20, 22. 31–33). We have previously demonstrated that highly dense surface coatings of hydrophilic and neutrally charged polyethylene glycol (PEG) render therapeutic NPs resistant to mucoadhesion, thereby enabling rapid diffusion in airway mucus (20, 22, 31–33). Of note, conventional (lower-density) PEG surface coatings do not provide muco-inert surfaces, resulting in immobilization of particles in CF mucus (26, 27, 33).

Results and Discussion

To formulate mucus-penetrating DNA-NPs based on PBAEs, we used a previously established blending strategy in which we used a mixture of non-PEGylated and PEGylated polymers to condense DNA (33). Using a two-step Michael addition reaction (6, 13, 34), we synthesized high-molecular-weight (MW) non-PEGylated PBAE polymers (Fig. 1A) with the end diacrylate groups capped by 2-(3-aminopropylamino ethanol) (C5) (Fig. 1B); the capping group was selected from our PBAE library (Fig. S1). To produce PEGylated PBAE polymers (PBAE-PEG), low-MW PBAE polymers were first synthesized in a similar fashion (Fig. 1C) but with the end diacrylate groups capped by 1,3-diaminopropane (C1). Subsequently, methoxy-PEG-succinimidyl succinates were reacted with the terminal primary amine groups at both ends of the C1-capped PBAE polymer chain (Fig. 1 C-E). The completion of all reactions was confirmed by NMR (Fig. 1). The MWs estimated by NMR (Fig. 1) were similar to those determined by gel permeation chromatography (GPC) (Fig. S2).

Using these polymers, we formulated PBAE-based DNA-NPs. Conventional DNA-NPs (PBAE-CPs), formulated by compacting plasmid DNA with non-PEGylated PBAE only, displayed a particle hydrodynamic diameter of ~85 nm and a positive surface



charge (ζ -potential ~30 mV) (Fig. 2A and Table 1). A blend of PBAE and PEGylated PBAE at an optimized ratio based on PBAE mass (wt/wt ratio of 2:3 PBAE:PBAE-PEG) compacted plasmid DNA more tightly (~50 nm in diameter) and provided a near-neutral surface charge (~2 mV), suggesting that the particle surface was densely shielded with PEG chains (mucus-penetrating PBAE/DNA; PBAE-MPPs). PBAE-MPPs exhibited improved colloidal stability in water compared with PBAE-CPs (Fig. 2B). We also found that PBAE-MPPs retained their physicochemical properties in bronchoalveolar lavage fluid (BALF) at 37 °C for 24 h with only a minor increase in particle diameter, whereas PBAE-CPs rapidly aggregated (Fig. 2C). The increase in NP size beyond the average mucus mesh spacing size, as observed in PBAE-CPs, hinders the diffusion of PBAE-CPs in the airway mucus, leading to rapid clearance by MCC before they reach the airway epithelium. Furthermore, particle aggregates deposited in the airspace are likely engulfed by alveolar macrophages and subsequently cleared from the lung (35-37). This formulation strategy can be generalized to formulate PBAE-MPPs with various leading core PBAE polymers (Fig. S1 and Table S1) (7–13), resulting in DNA-NPs with similar physicochemical properties.

To test whether PBAE-MPPs possess dense PEG surface coatings, we assessed the ability of these DNA-NPs to resist adsorption of pulmonary macromolecules compared with leading nonviral gene vectors, including PBAE-CPs, non-PEGylated PEI/DNA [PEI-CPs; considered a gold standard (38)], and conventionally PEGylated PLL/DNA [PLL-CPs; a replicate of the CK₃₀PEG_{10k} DNA-NP (39)]. The physicochemical properties of PEI-CPs and PLL-CPs are shown in Table S2. Following incubation of DNA-NPs in mouse lung protein lysates, we found that protein adsorption on the surface of PBAE-MPPs was negligible, whereas 79%, 56%, and 66% of protein quantity was found associated with PEI-CPs, PLL-CPs, and PBAE-CPs, respectively (Fig. S3A). Likewise, PBAE-MPPs exhibited significantly improved resistance against the adsorption of proteins present in human CF mucus compared with all other DNA-NP formulations (Fig. S3B). This is in accordance with the excellent colloidal stability of PBAE-MPPs in mouse BALF, which was not achieved with PBAE-CPs (Fig. 2C). Of note, the conventional PEG coating of PLL-CPs did not significantly reduce protein adsorption compared with non-PEGylated DNA-NPs, suggesting that a dense surface coverage of PEG is required to preclude adsorption of pulmonary macromolecules onto NPs.

We next compared the in vitro transfection efficiency of PBAE-MPPs in BEAS-2B human bronchial epithelial cells with that achieved by PBAE-CPs, PEI-CPs, and PLL-CPs (Fig. S4).

www.manaraa.com

š

Mastorakos et al.



Fig. 2. In vitro and ex vivo characterization of DNA-NPs. (A) Transmission electron micrographs of PBAEbased DNA-NPs. (Scale bars, 200 nm.) (*B* and C) Hydrodynamic diameter of PBAE-based DNA-NPs over time in (*B*) H₂O at room temperature and (C) BALF at 37 °C. (*D*) Representative trajectories of various DNA-NPs in freshly expectorated CF mucus. (*E*) Dot plot of the ensemble-averaged geometric means of MSD (<MSD>) of DNA-NPs at a timescale of 1 s in individual mucus samples. Data represent the mean \pm SEM. The asterisk denotes a statistically significant difference (*P* < 0.05).

PBAE-CPs exhibited the highest transfection efficiency, presumably attributable to the biodegradable nature of PBAEs that facilitates intracellular release of the packaged plasmid DNA (8, 40, 41). In accordance with previous reports that PEGylation may reduce in vitro transfection (14, 42), PBAE-MPPs exhibited significantly lower in vitro transfection efficiency compared with uncoated PBAE-CPs. However, PBAE-MPPs transfected BEAS-2B cells as efficiently as non-PEGylated PEI-CPs and significantly better than PLL-CPs. We hypothesized that the ability of PBAE-MPPs to retain high in vitro transfection efficiency and colloidal stability in physiological conditions would enhance its overall gene transfer efficacy in vivo.

Using multiple particle tracking (MPT), we next investigated the diffusion of various DNA-NPs in mucus freshly expectorated by CF patients. MPT allows simultaneous tracking of thousands of individual fluorescently labeled particles in biological media at high spatiotemporal resolution and provides various transport parameters such as mean square displacement (MSD), a measure of the distance traveled by particles at a given time interval (i.e., timescale) (43, 44). PLL-CPs, PEI-CPs, and PBAE-CPs were unable to efficiently penetrate CF mucus (Fig. 2D), in accordance with our previous finding that non-PEGylated or conventionally PEGylated nonviral gene vectors are largely hindered or immobilized in CF mucus (33). In contrast, PBAE-MPPs exhibited highly diffusive trajectories in CF mucus (Fig. 2D) and diffused significantly faster (P < 0.05) than the conventional DNA-NPs (Fig. 2E). Overall, PBAE-MPPs diffused in CF mucus at an average rate only 50-fold lower than their theoretical diffusion rate in water, whereas PEI-CPs, PLL-CPs, and PBAE-CPs were slowed on average by 350-, 630-, and 700-fold, respectively.

To test whether the enhanced colloidal stability and mucuspenetrating property of PBAE-MPPs provide improved particle distribution in the mouse lung in vivo, we intratracheally dosed Balb/C mice with Cy3-labeled DNA-NPs of various types via a microsprayer. PBAE-MPPs exhibited widespread distribution throughout the lung airways, whereas other conventional DNA-NPs (PBAE-CPs, PEI-CPs, and PLL-CPs) were all sparsely distributed (Fig. 3*A*). The airway coverage of PBAE-MPPs was ~70%, with minimal variation in airway distribution (i.e., highly uniform distribution), in sharp contrast to 20% coverage at best with large variations observed for all other DNA-NPs (Fig. 3 *B* and *C*). Similar results were observed in the lung parenchyma (Fig. 3 *D*–*F*), likely due to the dense surface PEG coatings that reduce particle aggregation and phagocytosis by alveolar macrophages (35–37).

8722 | www.pnas.org/cgi/doi/10.1073/pnas.1502281112

We next investigated whether the improved distribution of PBAE-MPPs also improved transgene expression in the mouse lung; various DNA-NPs carried plasmid DNA encoding for green fluorescent protein (GFP) driven by a cytomegalovirus (CMV) promoter and were administered intratracheally. We found that GFP transgene expression was sporadic in the lungs of mice treated with PBAE-CPs, PEI-CPs, and PLL-CPs, whereas PBAE-MPPs provided uniform transgene expression throughout the lungs (Fig. 4A). Flow cytometric analysis revealed that PBAE-MPPs mediated transgene expression in more than 15% of the total lung cell population in vivo following a single intratracheal administration (Fig. S5). In contrast, PBAE-CPs transfected only 3% of total cells, similar to previous findings with other leading nonviral (45-47) and viral (48, 49) vectors. PBAE-MPPs demonstrated transgene expression in 12% of the CD45-negative nonimmune cells in the mouse lung, in contrast to the predominant transduction of immune cells achieved by viral vectors (50). Of note, the nonimmune cells in the lung lumen include airway epithelial cells, which comprise less than 1% of the total respiratory epithelial surfaces (51), as well as alveolar epithelial cells types I and II, which constitute 8% and 15% of the total peripheral lung cells, respectively (52, 53). Our findings suggest that a single intratracheal administration of PBAE-MPPs mediated efficient transgene expression in mouse lung epithelial cells. The widespread transgene expression achieved uniquely with PBAE-MPPs may have important implications for the success of lung gene therapy in the

Table 1. Physicochemical properties of PBAE-based DNA-NPs

DNA-NP type	Storage*	Hydrodynamic diameter \pm SEM, nm [†]	PDI [†]	ζ -Potential ± SEM, mV [‡]
PBAE-CPs	Fresh	84 ± 2.8	0.1	31.0 ± 1.3
	24 h at RT	262 ± 3.6	0.2	0.7 ± 1.2
	Lyophilized	178 ± 9.4	0.4	0.6 ± 0.6
PBAE-MPPs	Fresh	50 ± 1.1	0.1	0.5 ± 0.2
	24 h at RT	54 ± 1.9	0.1	1.6 ± 0.1
	Lyophilized	73 ± 2.3	0.2	2 ± 0.1

*PBAE-based DNA-NPs were characterized directly after formulation, following a 24-h storage at room temperature, or following lyophilization and subsequent rehydration.

[†]Hydrodynamic diameter and polydispersity index (PDI) were measured by dynamic light scattering (DLS) in water (pH 7.0). Data represent the mean \pm SEM ($n \ge 3$).

 $^{+}$ C-Potential was measured by laser Doppler anemometry in 10 mM NaCl (pH 7.0). Data represent the mean \pm SEM ($n \ge 3$).

Mastorakos et al.



Fig. 3. In vivo distribution of DNA-NPs following intratracheal administration. (A) Representative images of DNA-NP distribution in large airways following intratracheal administration of the respective DNA-NPs (red). Cell nuclei are stained with DAPI (blue). (B and C) Image-based quantification of (B) coverage and (C) distribution variation of DNA-NPs in large airways. (D) Representative images of DNA-NP distribution in the lung parenchyma. (E and F) Image-based quantification of (E) coverage and (F) distribution variation of DNA-NPs in the lung parenchyma. Tissues were harvested 30 min after intratracheal administration of DNA-NPs. Data represent the mean \pm SEM. The asterisks denote a statistically significant difference (P < 0.05).

clinic, specifically when broad therapeutic effect throughout the lung is required. For example, CF patients with certain cystic fibrosis transmembrane conductance regulator (CFTR) mutations, which retain ~10% of functional expression per cell, are generally not afflicted by CF lung diseases (54). This suggests that even modest levels of CFTR gene transfer throughout the airways, rather than a high level of spatially confined transgene expression, may be required to restore the defects in CF lungs. Moreover, thanks to the identification of genetic targets, the ability to achieve widespread transgene expression may provide therapeutic breakthroughs for diseases such as COPD and asthma that affect both airways and lung parenchyma (55, 56).

We subsequently investigated whether the ability of PBAE-MPPs to penetrate the mucus layer and reach the underlying epithelium enhances the overall gene transfer efficacy following intratracheal administration. The various DNA-NPs were formulated with a luciferase plasmid DNA driven by a human β -actin promoter. All conventional DNA-NPs (PBAE-CPs, PEI-CPs, and PLL-CPs) provided significantly enhanced transgene expression levels compared with naked plasmid DNA control (Fig. 4B). Among the conventional DNA-NPs, PLL-CPs have demonstrated a gene transfer level on par with AAV serotype 2 in a clinical trial (39, 57), and PEI-CPs have provided in vivo transgene expression comparable to the only gene delivery system being tested currently in a clinical trial for CF gene therapy (GL67A) (58, 59). PBAE-MPPs mediated a statistically significant ~25-fold higher overall transgene expression level compared with these gold standard nonviral gene vectors (Fig. 4B). The significantly enhanced in vivo gene transfer by PBAE-MPPs compared with PBAE-CPs underscores that the ability of PBAE-MPPs to remain stable in physiological conditions and to overcome the mucus barrier more than offsets the inferior gene delivery capacity often observed with PEGylated gene vectors in vitro (Fig. S4). We next compared the in vivo gene transfer efficacies of PBAE-MPPs and nonbiodegradable PEI-based DNA-NPs that we previously developed and confirmed to achieve efficient mucus penetration and thus airway gene transfer in vivo (PEI-MPPs) (33). We found that PBAE-MPPs provided significantly

Fig. 4. In vivo transgene expression in mouse lungs following intratracheal administration of DNA-NPs. (A) Representative images of GFP expression (green) (n = 3). Cell nuclei are stained with DAPI (blue). (B-D) Luciferase expression (n = 5) mediated by (B)various DNA-NPs 1 wk after a single administration, (C) PBAE-MPPs stored at different conditions, and (D) PBAE-MPPs at different time points after a single administration. RT, room temperature. (E) Effect of repeated administrations on the transfection efficiency of PBAE-MPPs (n = 4-5). Mice were dosed once or twice with PBAE-MPPs carrying pBACH with a 2-wk interval and, 2 wk after the final pBACH dose, PBAE-MPPs carrying pBAL were administered. Luciferase expression was quantified 1 wk after the final administration. Groups I and II represent mice treated with a single dose of pBACH (negative control) and pBAL (positive control), respectively. Mice in



double dose of pBACH, respectively, and subsequently dosed with pBAL. The two plasmids are identical except for the reporter coding sequences. Data represent the mean \pm SEM. The asterisks denote a statistically significant difference from group I (P < 0.05).



Dow

groups III and IV were exposed to a single dose or



Fig. 5. In vivo safety profile of DNA-NPs. (*A*) Representative images of lung parenchyma 24 h after administration of DNA-NPs. (*B*) Histopathological scoring of lung inflammation. (*C* and *D*) Total cell counts (*C*) and % neutrophils (*D*) in bronchoalveolar lavage fluid following a single administration of DNA-NPs. Data represent the mean \pm SD (n = 3–5). The differences are statistically significant (P < 0.05) compared with untreated control (*) or mice dosed with PEI-CPs (#).

greater transgene expression in the mouse lung compared with PEI-MPPs (Fig. S6). This is likely attributable to the wellestablished ability of PBAEs to provide superior intracellular gene transfer compared with PEI (60). Overall, both MPP formulations mediated significantly higher absolute levels of transgene expression compared with conventional DNA-NPs (PBAE-CPs, PEI-CPs, and PLL-CPs). It should also be noted that both storage in aqueous solution for 24 h at room temperature and reconstitution after lyophilization did not reduce the in vivo gene transfer efficacy of PBAE-MPPs (Fig. 4*C*), suggesting that PBAE-MPPs may be stored long-term as a stable pharmaceutical product.

The lack of sustained gene expression remains a limiting factor for effective nonviral gene therapy of chronic diseases (61). We demonstrated that a combination of PBAE-MPPs and plasmid DNA driven by an unmethylated human β -actin promoter provides sustained levels of transgene expression for at least 2 mo (Fig. 4*D*). Although the level decreased at 4 mo postadministration, it remained comparable to the levels achieved by leading conventional DNA-NPs at 1 wk postadministration (Fig. 4*B*). It should be noted that transgene expression will eventually fade due to the episomal nature of the delivered plasmid DNA and the turnover of transfected cells; the turnover of mouse airway epithelial cells has been shown to occur every 6 and 17 mo in trachea and bronchioles, respectively (62). Thus, it is imperative to achieve high-level transgene expression

- Olsen NJ, Stein CM (2004) New drugs for rheumatoid arthritis. N Engl J Med 350(21): 2167–2179.
- Bessis N, GarciaCozar FJ, Boissier M-C (2004) Immune responses to gene therapy vectors: Influence on vector function and effector mechanisms. *Gene Ther* 11(Suppl 1):S10–S17.
- Harvey BG, et al. (1999) Airway epithelial CFTR mRNA expression in cystic fibrosis patients after repetitive administration of a recombinant adenovirus. J Clin Invest 104(9):1245–1255.
- Mintzer MA, Simanek EE (2009) Nonviral vectors for gene delivery. Chem Rev 109(2): 259–302.

8724 | www.pnas.org/cgi/doi/10.1073/pnas.1502281112

each time following repeated administration, especially for the treatment of chronic disorders (63). The inactivation of viral vectors via neutralizing antibodies and other host immune responses generated by prior exposure is a major problem (64). Here, we found that multiple administrations did not reduce the transfection efficiency of PBAE-MPPs (Fig. 4E).

We next sought to test the safety of the various gene vectors following inhalation. We discovered by histology that PBAE-MPPs and PLL-CPs did not cause acute inflammation, whereas the lungs of mice treated with PEI-CPs showed evidence of widespread immune cell infiltration (Fig. 5 A and B). PLL-CPs were well-tolerated by patients with CF in a phase I/IIa clinical trial, likely because they contain PEG (albeit less PEG than PBAE-MPPs) (39). PEI-CPs induced a significant increase in total cell counts and percentage of neutrophils in BALF. On the other hand, cell counts were not significantly different between the lungs of untreated controls and mice that inhaled PLL-CPs or PBAE-MPPs (Fig. 5 C and D).

In summary, biodegradable PBAE-MPPs provide colloidal stability in physiological conditions and capacity to efficiently penetrate airway mucus, leading to widespread transgene expression in the lungs in vivo. PBAE-MPPs demonstrate significantly enhanced overall gene transfer efficacy compared with leading nonviral gene vectors (and potentially viral vectors) as well as safety comparable to a nonviral gene delivery system found safe in human trials (PLL-CPs). The PBAE-MPP formulation strategy is simple and generalizable to a variety of biodegradable cationic polymers.

Materials and Methods

We established a library of PBAE polymers that consists of the polymer variants previously shown to provide efficient in vitro gene transfer (Fig. S1) (7-13). PBAE polymers were synthesized by a two-step Michael addition, as previously reported (Fig. 1) (6-13, 34). Methoxy-PEG-succinimidyl succinates (5 kDa; JenKem Technology) were reacted with the terminal primary amine groups at both ends of the end-capped linear PBAE polymer for the synthesis of PBAE-PEG polymers. The intermediates and final polymer products were characterized by NMR (Fig. 1) and GPC (Fig. S2). DNA-NPs were formulated by the dropwise addition of unlabeled or fluorescently labeled plasmid DNA solution to a polymer solution under optimized conditions (33) and characterized by transmission electron microscopy, dynamic light scattering, laser Doppler anemometry, and in vitro protein binding assay. MPT was used to measure the MSD of fluorescently labeled DNA-NPs in freshly expectorated mucus from CF patients (32, 33, 65). For the in vivo assessment of DNA-NP distribution and transgene expression in the lung airways and airspace, overall transfection efficiency, and in vivo safety profile, a 50-µL solution of DNA-NPs at a 0.5 mg/mL plasmid DNA concentration was intratracheally administered to Balb/C mice using a microsprayer (MicroSprayer Aerosolizer Model IA-1C; Penn-Century). All animals were handled in accordance with the policies and guidelines of the Johns Hopkins University Animal Care and Use Committee. In vivo distribution and transfection of DNA-NPs were characterized using confocal microscopy (66), flow cytometry (49), and luminometry (33). Detailed experimental procedures are provided in SI Materials and Methods.

ACKNOWLEDGMENTS. We are grateful to the Johns Hopkins Adult Cystic Fibrosis Center for providing freshly expectorated CF mucus samples. Funding was provided by the National Institutes of Health (P01 HL51811 and R01 HL127413) and the Cystic Fibrosis Foundation (HANES07XX0 and HANES15G0).

- Green JJ, Zugates GT, Langer R, Anderson DG (2009) Poly(beta-amino esters): Procedures for synthesis and gene delivery. *Methods Mol Biol* 480:53–63.
- Zugates GT, et al. (2007) Rapid optimization of gene delivery by parallel end-modification of poly(beta-amino ester)s. *Mol Ther* 15(7):1306–1312.
- Akinc A, Anderson DG, Lynn DM, Langer R (2003) Synthesis of poly(beta-amino ester)s optimized for highly effective gene delivery. *Bioconjug Chem* 14(5):979–988.
- Anderson DG, Akinc A, Hossain N, Langer R (2005) Structure/property studies of polymeric gene delivery using a library of poly(beta-amino esters). *Mol Ther* 11(3): 426–434.

- Green JJ, et al. (2006) Biodegradable polymeric vectors for gene delivery to human endothelial cells. *Bioconjug Chem* 17(5):1162–1169.
- Greenland JR, et al. (2005) Beta-amino ester polymers facilitate in vivo DNA transfection and adjuvant plasmid DNA immunization. *Mol Ther* 12(1):164–170.
- Guerrero-Cázares H, et al. (2014) Biodegradable polymeric nanoparticles show high efficacy and specificity at DNA delivery to human glioblastoma in vitro and in vivo. ACS Nano 8(5):5141–5153.
- Keeney M, et al. (2013) Development of poly(β-amino ester)-based biodegradable nanoparticles for nonviral delivery of minicircle DNA. ACS Nano 7(8):7241–7250.
- Zugates GT, et al. (2007) Gene delivery properties of end-modified poly(beta-amino ester)s. *Bioconjug Chem* 18(6):1887–1896.
- Mishra S, Webster P, Davis ME (2004) PEGylation significantly affects cellular uptake and intracellular trafficking of non-viral gene delivery particles. *Eur J Cell Biol* 83(3): 97–111.
- 15. DeLoid G, et al. (2014) Estimating the effective density of engineered nanomaterials for in vitro dosimetry. *Nat Commun* 5:3514.
- Zhang Y, Satterlee A, Huang L (2012) In vivo gene delivery by nonviral vectors: Overcoming hurdles? *Mol Ther* 20(7):1298–1304.
- Griesenbach U, Inoue M, Hasegawa M, Alton EWFW (2005) Sendai virus for gene therapy and vaccination. *Curr Opin Mol Ther* 7(4):346–352.
- Ferrari S, et al. (2001) Mucus altering agents as adjuncts for nonviral gene transfer to airway epithelium. *Gene Ther* 8(18):1380–1386.
- 19. Cone RA (2009) Barrier properties of mucus. Adv Drug Deliv Rev 61(2):75-85.
- Lai SK, Wang YY, Hanes J (2009) Mucus-penetrating nanoparticles for drug and gene delivery to mucosal tissues. Adv Drug Deliv Rev 61(2):158–171.
- Sanders NN, et al. (2000) Cystic fibrosis sputum: A barrier to the transport of nanospheres. Am J Respir Crit Care Med 162(5):1905–1911.
- Suk JS, et al. (2009) The penetration of fresh undiluted sputum expectorated by cystic fibrosis patients by non-adhesive polymer nanoparticles. *Biomaterials* 30(13):2591–2597.
- Hida K, et al. (2011) Common gene therapy viral vectors do not efficiently penetrate sputum from cystic fibrosis patients. PLoS ONE 6(5):e19919.
- Sanders NN, Van Rompaey E, De Smedt SC, Demeester J (2001) Structural alterations of gene complexes by cystic fibrosis sputum. *Am J Respir Crit Care Med* 164(3): 486–493.
- Schuster BS, et al. (2014) Overcoming the cystic fibrosis sputum barrier to leading adeno-associated virus gene therapy vectors. *Mol Ther* 22(8):1484–1493.
- Boylan NJ, et al. (2012) Highly compacted DNA nanoparticles with low MW PEG coatings: In vitro, ex vivo and in vivo evaluation. J Control Release 157(1):72–79.
- Suk JS, et al. (2011) *N*-acetylcysteine enhances cystic fibrosis sputum penetration and airway gene transfer by highly compacted DNA nanoparticles. *Mol Ther* 19(11):1981– 1989.
- Sanders N, Rudolph C, Braeckmans K, De Smedt SC, Demeester J (2009) Extracellular barriers in respiratory gene therapy. Adv Drug Deliv Rev 61(2):115–127.
- Foster WM (2002) Mucociliary transport and cough in humans. Pulm Pharmacol Ther 15(3):277–282.
- Livraghi A, Randell SH (2007) Cystic fibrosis and other respiratory diseases of impaired mucus clearance. *Toxicol Pathol* 35(1):116–129.
- Ensign LM, Schneider C, Suk JS, Cone R, Hanes J (2012) Mucus penetrating nanoparticles: Biophysical tool and method of drug and gene delivery. *Adv Mater* 24(28): 3887–3894.
- Schuster BS, Suk JS, Woodworth GF, Hanes J (2013) Nanoparticle diffusion in respiratory mucus from humans without lung disease. *Biomaterials* 34(13):3439–3446.
- Suk JS, et al. (2014) Lung gene therapy with highly compacted DNA nanoparticles that overcome the mucus barrier. J Control Release 178:8–17.
- Green JJ, Langer R, Anderson DG (2008) A combinatorial polymer library approach yields insight into nonviral gene delivery. Acc Chem Res 41(6):749–759.
- Frieke Kuper C, et al. (2015) Toxicity assessment of aggregated/agglomerated cerium oxide nanoparticles in an in vitro 3D airway model: The influence of mucociliary clearance. *Toxicol In Vitro* 29(2):389–397.
- da Silva AL, et al. (2013) Nanoparticle-based therapy for respiratory diseases. An Acad Bras Cienc 85(1):137–146.
- Bailey MM, Berkland CJ (2009) Nanoparticle formulations in pulmonary drug delivery. Med Res Rev 29(1):196–212.
- Neuberg P, Kichler A (2014) Recent developments in nucleic acid delivery with polyethylenimines. Adv Genet 88:263–288.

- Konstan MW, et al. (2004) Compacted DNA nanoparticles administered to the nasal mucosa of cystic fibrosis subjects are safe and demonstrate partial to complete cystic fibrosis transmembrane regulator reconstitution. *Hum Gene Ther* 15(12):1255–1269.
- Lynn DM, Langer R (2000) Degradable poly(beta-amino esters): Synthesis, characterization, and self-assembly with plasmid DNA. J Am Chem Soc 122(44):10761–10768.
- Singh M, Borain J, Noor-Mahomed N, Ariatti M (2011) The effect of pegylation on the transfection activity of two homologous cationic cholesteryl cytofectins. *Afr J Biotechnol* 10(8):1400–1407.
- Ogris M, Steinlein P, Carotta S, Brunner S, Wagner E (2001) DNA/polyethylenimine transfection particles: Influence of ligands, polymer size, and PEGylation on internalization and gene expression. AAPS PharmSci 3(3):E21.
- Lai SK, Hanes J (2008) Real-time multiple particle tracking of gene nanocarriers in complex biological environments. *Methods Mol Biol* 434:81–97.
- Suh J, Dawson M, Hanes J (2005) Real-time multiple-particle tracking: Applications to drug and gene delivery. Adv Drug Deliv Rev 57(1):63–78.
- Merkel OM, Marsh LM, Garn H, Kissel T (2013) Flow cytometry-based cell type-specific assessment of target regulation by pulmonary siRNA delivery. *Methods Mol Biol* 948: 263–273.
- Beyerle A, et al. (2011) Comparative in vivo study of poly(ethylene imine)/siRNA complexes for pulmonary delivery in mice. J Control Release 151(1):51–56.
- Kang S, et al. (2013) Virus-mimetic polyplex particles for systemic and inflammationspecific targeted delivery of large genetic contents. *Gene Ther* 20(11):1042–1052.
- Johnson LG, Olsen JC, Naldini L, Boucher RC (2000) Pseudotyped human lentiviral vector-mediated gene transfer to airway epithelia in vivo. Gene Ther 7(7):568–574.
- Manicassamy B, et al. (2010) Analysis of in vivo dynamics of influenza virus infection in mice using a GFP reporter virus. Proc Natl Acad Sci USA 107(25):11531–11536.
- Wilson AA, et al. (2010) Amelioration of emphysema in mice through lentiviral transduction of long-lived pulmonary alveolar macrophages. J Clin Invest 120(1): 379–389.
- Crystal RG, Randell SH, Engelhardt JF, Voynow J, Sunday ME (2008) Airway epithelial cells: Current concepts and challenges. Proc Am Thorac Soc 5(7):772–777.
- Wang D, Haviland DL, Burns AR, Zsigmond E, Wetsel RA (2007) A pure population of lung alveolar epithelial type II cells derived from human embryonic stem cells. Proc Natl Acad Sci USA 104(11):4449–4454.
- 53. Crapo JD, Barry BE, Gehr P, Bachofen M, Weibel ER (1982) Cell number and cell characteristics of the normal human lung. *Am Rev Respir Dis* 126(2):332–337.
- Sheridan C (2011) Gene therapy finds its niche. Nat Biotechnol 29(2):121–128.
 Kolb M, Martin G, Medina M, Ask K, Gauldie J (2006) Gene therapy for pulmonary
- diseases. Chest 130(3):879–884.
- 56. West J, Rodman DM (2001) Gene therapy for pulmonary diseases. Chest 119(2): 613–617.
- Moss RB, et al. (2004) Repeated adeno-associated virus serotype 2 aerosol-mediated cystic fibrosis transmembrane regulator gene transfer to the lungs of patients with cystic fibrosis: A multicenter, double-blind, placebo-controlled trial. *Chest* 125(2): 509–521.
- McLachlan G, et al. (2011) Pre-clinical evaluation of three non-viral gene transfer agents for cystic fibrosis after aerosol delivery to the ovine lung. *Gene Ther* 18(10): 996–1005.
- Griesenbach U, et al. (2011) Secreted Gaussia luciferase as a sensitive reporter gene for in vivo and ex vivo studies of airway gene transfer. *Biomaterials* 32(10):2614–2624.
- Sunshine JC, Peng DY, Green JJ (2012) Uptake and transfection with polymeric nanoparticles are dependent on polymer end-group structure, but largely independent of nanoparticle physical and chemical properties. *Mol Pharm* 9(11): 3375–3383.
- 61. Bestor TH (2000) Gene silencing as a threat to the success of gene therapy. J Clin Invest 105(4):409–411.
- Rawlins EL, Hogan BL (2008) Ciliated epithelial cell lifespan in the mouse trachea and lung. Am J Physiol Lung Cell Mol Physiol 295(1):L231–L234.
- Sinn PL, Burnight ER, McCray PB, Jr (2009) Progress and prospects: Prospects of repeated pulmonary administration of viral vectors. *Gene Ther* 16(9):1059–1065.
 Moss RB, et al. (2007) Repeated aerosolized AAV-CFTR for treatment of cystic fibrosis:
- A randomized placebo-controlled phase 2B trial. *Hum Gene Ther* 18(8):726–732.
- Kim AJ, et al. (2013) Use of single-site-functionalized PEG dendrons to prepare gene vectors that penetrate human mucus barriers. Angew Chem Int Ed Engl 52(14): 3985–3988.
- Mastorakos P, et al. (2015) Highly PEGylated DNA nanoparticles provide uniform and widespread gene transfer in the brain. Adv Healthc Mater 4(7):1023–1033.

www.manaraa.com

Mastorakos et al.